MISSISSIPPI LEGISLATURE

By: Senator(s) Blount

REGULAR SESSION 2023

To: Public Health and Welfare

COMMITTEE SUBSTITUTE FOR SENATE BILL NO. 2797

AN ACT TO CREATE NEW SECTION 41-21-110, MISSISSIPPI CODE OF 1 2 1972, TO PROVIDE THAT THE MISSISSIPPI STATE ASYLUM RECORDS IN THE 3 POSSESSION OF THE MISSISSIPPI STATE HOSPITAL SHALL BE PERMANENTLY 4 TRANSFERRED TO THE ROWLAND MEDICAL LIBRARY AT THE UNIVERSITY OF 5 MISSISSIPPI MEDICAL CENTER; TO PROVIDE THAT THE UNIVERSITY OF 6 MISSISSIPPI MEDICAL CENTER SHALL ESTABLISH THE ASYLUM HILL OVERSIGHT COMMITTEE TO GOVERN THE ACCESS, USE AND DISCLOSURE OF 7 THE MISSISSIPPI STATE ASYLUM RECORDS; TO REQUIRE THE OVERSIGHT 8 9 COMMITTEE TO MAKE REASONABLE EFFORTS TO IDENTIFY AND NOTIFY ALL 10 DESCENDANTS OF PATIENTS TREATED AT THE ASYLUM; TO REQUIRE THE OVERSIGHT COMMITTEE TO ESTABLISH PROCEDURES BY WHICH A DESCENDANT 11 12 OF A PATIENT TREATED AT THE ASYLUM MAY OPT OUT OF THE DISCLOSURE 13 OF ANY RELATED RECORDS; TO REQUIRE ALL INFORMATION, DATA AND RESEARCH REGARDING PATIENTS TO BE ANONYMIZED; TO AMEND SECTION 14 41-9-61, MISSISSIPPI CODE OF 1972, TO EXEMPT THE MISSISSIPPI STATE 15 ASYLUM RECORDS FROM BEING CONSIDERED HOSPITAL RECORDS FOR PURPOSES 16 17 OF THE CHAPTER; TO AMEND SECTION 41-21-97, MISSISSIPPI CODE OF 18 1972, TO PROVIDE THAT THE MISSISSIPPI STATE ASYLUM RECORDS SHALL 19 NOT BE CONSIDERED CONFIDENTIAL FOR PURPOSES OF THE SECTION, 20 PROVIDED THAT ANY MISSISSIPPI STATE ASYLUM RECORD RELATING TO ANY 21 PERSON WHO HAS NOT BEEN DECEASED FOR AT LEAST 50 YEARS SHALL STILL 22 BE CONSIDERED CONFIDENTIAL; TO AMEND SECTION 41-10-3, MISSISSIPPI 23 CODE OF 1972, TO PROVIDE THAT THE MISSISSIPPI STATE ASYLUM RECORDS 24 SHALL NOT BE CONSIDERED MEDICAL RECORDS FOR PURPOSES OF THE SECTION; TO CONFORM TO THE PROVISIONS OF THE ACT; TO AMEND SECTION 25 26 41-30-33, MISSISSIPPI CODE OF 1972, TO PROVIDE THAT THE 27 MISSISSIPPI STATE ASYLUM RECORDS SHALL BE EXEMPT FROM CERTAIN 28 DISCLOSURE PROHIBITIONS, PROVIDED THAT SUCH RECORDS RELATING TO 29 ANY PERSON WHO HAS NOT BEEN DECEASED FOR AT LEAST 50 YEARS SHALL NOT BE EXEMPT; TO AMEND SECTION 13-1-21, MISSISSIPPI CODE OF 1972, 30 31 TO PROVIDE THAT THE MISSISSIPPI STATE ASYLUM RECORDS SHALL BE 32 EXEMPT FROM CERTAIN MEDICAL PRIVILEGE REQUIREMENTS, PROVIDED THAT 33 SUCH RECORDS RELATING TO ANY PERSON WHO HAS NOT BEEN DECEASED FOR 34 AT LEAST 50 YEARS SHALL NOT BE EXEMPT; AND FOR RELATED PURPOSES.

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35 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MISSISSIPPI: 36 SECTION 1. The following shall be codified as Section 37 41-21-110, Mississippi Code of 1972:

38 <u>41-21-110.</u> (1) For purposes of this section, "Mississippi 39 State Asylum Records" shall mean a collection of individual 40 patient records, in the possession of the Mississippi State 41 Hospital, including, but not limited to, paper charts and digital 42 copies of paper documents, that record patient care at the 43 Mississippi State Asylum from 1855 to 1935.

44 (2) For the purpose of this section, "Descendant" shall mean
45 the closest living relative of a patient at the Mississippi State
46 Asylum.

47 (3) The Mississippi State Asylum Records in the possession
48 of the Mississippi State Hospital shall be permanently transferred
49 to the Rowland Medical Library at the University of Mississippi
50 Medical Center.

(4) The University of Mississippi Medical Center shall establish the Asylum Hill Oversight Committee to govern the access, use and disclosure of the Mississippi State Asylum Records. The following standards and practices shall be followed by the Asylum Hill Oversight Committee:

(a) Curation and storage. The Mississippi State Asylum
Records shall be curated and stored using standard practices and
procedures based on the standards set forth by the Society of
American Archivists.

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60 (b) Descendants' access to records. The Asylum Hill 61 Oversight Committee shall make reasonable efforts to identify and notify descendants of patients treated at the Mississippi State 62 63 Asylum. Descendants of patients treated at the Mississippi State 64 Asylum have the right to access specific patient records. 65 Descendant request for records will be limited to the closest 66 living relative of the patient of which the requestor must attest, 67 by affidavit, that they are the closest living relative. The 68 University of Mississippi Medical Center and its officers and 69 employees shall be immune from any action or suit that arises from 70 their reliance upon the affidavit of a descendant that states the 71 affiant is the closest living relative of the decedent.

(c) HIPAA. Records of patients with a documented death date less than fifty (50) years from the date of the records request constitute Protected Health Information and shall be subject to the Health Insurance Portability and Accountability Act (HIPAA). Patients born before 1873 shall be presumed to have been deceased for fifty (50) years.

(5) The Asylum Hill Oversight Committee shall establish procedures by which a descendant of a patient treated at the Mississippi State Asylum may opt out of any disclosure of such records. When a descendent of a patient opts out, such records shall be considered confidential and shall not be disclosed for any purpose. A descendant of a patient who was the subject of specific Mississippi State Asylum Records may consent to the

University of Mississippi Medical Center using such records in a more specific manner, including disclosing specific identification designations, but no such disclosure shall be allowed without specific authorization.

89 Mississippi State Asylum Records relating to any person (6) 90 who has not been deceased for at least fifty (50) years shall not be exempt from the provisions of Sections 41-30-33, 41-21-97 and 91 92 13-1-21. All information, data and research regarding patients 93 shall be anonymized. Mississippi State Asylum Records relating to any person who has not been deceased for at least fifty (50) years 94 shall constitute Protected Health Information and shall be subject 95 96 to the Health Insurance Portability and Accountability Act

97 (HIPAA).

98 (7) The Mississippi State Asylum Records shall be exempt 99 from the Mississippi Public Records Act of 1983.

SECTION 2. Section 41-9-61, Mississippi Code of 1972, is amended as follows:

102 41-9-61. As used in Sections 41-9-61 through 41-9-83:

(a) "Hospital" shall have the meaning ascribed thereto by Section 41-9-3, regardless of the type of ownership or form of management or organization of the institution, and it shall include the proprietor and operator thereof.

107 (b) "Hospital records" shall mean, without restriction,
108 those medical histories, records, reports, summaries, diagnoses
109 and prognoses, records of treatment and medication ordered and

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110 given, notes, entries, X-rays and other written or graphic data 111 prepared, kept, made or maintained in hospitals that pertain to 112 hospital confinements or hospital services rendered to patients admitted to hospitals or receiving emergency room or outpatient 113 114 care. Such records shall also include abstracts of the foregoing 115 data customarily made or made as provided in Section 41-9-75. Such records shall not, however, include ordinary business records 116 117 pertaining to patients' accounts or the administration of the 118 institution nor shall "hospital records" include any records 119 consisting of nursing audits, physician audits, departmental 120 evaluations or other evaluations or reviews which are used only 121 for in-service education programs, or which are required only for 122 accreditation or for participation in federal health programs. 123 The Mississippi State Asylum Records as defined in Section 124 41-21-110 shall not be considered hospital records for purposes of 125 this chapter. 126 "Patient" shall mean outpatients, inpatients, (C)

127 persons dead on arrival, and the newborn.

(d) "Retirement," or variations thereof, shall mean the withdrawal from current files of hospital records, business records or parts thereof on or after the expiration of the applicable minimum period of retention established pursuant to Section 41-9-69. However, no hospital record, business record, or parts thereof, shall be subject to retirement where otherwise required by law to be kept as a permanent record.

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(e) "Licensing agency" shall mean the State Departmentof Health.

(f) "Business records" shall mean all those books, ledgers, records, papers and other documents prepared, kept, made or received in hospitals that pertain to the organization, administration or management of the business and affairs of hospitals, but which do not constitute hospital records as hereinabove defined.

143 SECTION 3. Section 41-21-97, Mississippi Code of 1972, is 144 amended as follows:

145 41-21-97. (1) The hospital records of and information 146 pertaining to patients at treatment facilities or patients being 147 treated by physicians, psychologists (as defined in Section 73-31-3(e)), licensed master social workers or licensed 148 professional counselors shall be confidential and shall be 149 150 released only: (a) upon written authorization of the patient; (b) 151 upon order of a court of competent jurisdiction; (c) when 152 necessary for the continued treatment of a patient; (d) when, in 153 the opinion of the director, release is necessary for the 154 determination of eligibility for benefits, compliance with 155 statutory reporting requirements, or other lawful purpose; or (e) 156 when the patient has communicated to the treating physician, psychologist (as defined in Section 73-31-3(e)), master social 157 158 worker or licensed professional counselor an actual threat of physical violence against a clearly identified or reasonably 159

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S. B. No. 2797 23/SS36/R494CS PAGE 6 identifiable potential victim or victims, and then the treating physician, psychologist (as defined in Section 73-31-3(e)), master social worker or licensed professional counselor may communicate the threat only to the potential victim or victims, a law enforcement agency, or the parent or guardian of a minor who is identified as a potential victim.

166 (2) The Mississippi State Asylum Records shall not be
167 considered confidential for purposes of this section, provided
168 that any Mississippi State Asylum Record relating to any person
169 who has not been deceased for at least fifty (50) years shall
170 still be considered confidential in accordance with subsection (1)
171 of this section.

SECTION 4. Section 41-10-3, Mississippi Code of 1972, is amended as follows:

174 41-10-3. (1) The following words and phrases shall have the 175 meanings ascribed in this section unless the context clearly 176 indicates otherwise:

(a) "Heir" means any person who is entitled to a distribution from the estate of an intestate decedent, or a person who would be entitled to a distribution from the estate of a testate decedent if that decedent had died intestate.

(b) "Medical records" means any communications related to a patient's physical or mental health or condition that are recorded in any form or medium and that are maintained for purposes of patient diagnosis or treatment, including

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(2) Where no executor or administrator has been appointed by a chancery court of competent jurisdiction regarding the probate or administration of the estate of a decedent, any heir of the decedent shall be authorized to act on behalf of the decedent solely for the purpose of obtaining a copy of the decedent's medical records. The authority shall not extend to any other property rights relating to the decedent's estate.

(3) A custodian of medical records may provide a copy of the decedent's medical records to an heir upon receipt of an affidavit by the heir stating that he or she meets the requirements of this section and that no executor or administrator has been appointed by a chancery court with respect to the estate of the decedent.

(4) The authority of the heir to act on behalf of the decedent shall terminate upon the appointment of an executor or administrator to act on behalf of the estate of the decedent.

S. B. No. 2797 ~ OFFICIAL ~ 23/SS36/R494CS PAGE 8 However, the custodian of medical records shall be entitled to rely upon the affidavit of the heir until the custodian of medical records receives written notice of the appointment of an executor or administrator.

(5) A custodian of medical records shall not be required to provide more than three (3) heirs with a copy of the decedent's medical records before the appointment of an executor or administrator.

(6) The provisions of this section shall not prohibit an executor or administrator from requesting and receiving the medical records of a decedent after his or her appointment.

(7) The Mississippi State Asylum Records shall not be
 considered medical records for purposes of this section. In
 accordance with Section 41-21-110, the Asylum Hill Oversight
 Committee shall establish procedures by which descendants of a
 decedent who was the subject of specific Mississippi State Asylum
 Records may access such records.

227 SECTION 5. Section 41-30-33, Mississippi Code of 1972, is 228 amended as follows:

41-30-33. (1) The registration and other records of services by approved treatment facilities, whether in-patient, intermediate or out-patient, authorized by this chapter, shall remain confidential, and information which has been entered in the records shall be considered privileged information.

234 (2) No part of the records shall be disclosed without the 235 consent of the person to whom it pertains, but appropriate 236 disclosure may be made without such consent to treatment personnel 237 for use in connection with his treatment and to counsel 238 representing the person in any proceeding held pursuant to Title 239 41, Chapter 31, Mississippi Code of 1972. Disclosure may also be 240 made without consent upon court order for purposes unrelated to 241 treatment after application showing good cause therefor. In 242 determining whether there is good cause for disclosure, the court 243 shall weigh the need for the information to be disclosed against 244 the possible harm of disclosure to the person to whom such 245 information pertains.

246 <u>(3) The Mississippi State Asylum Records shall be exempt</u>
247 from the requirements of this section, provided that such records
248 relating to any person who has not been deceased for at least
249 fifty (50) years shall not be exempt.

250 SECTION 6. Section 13-1-21, Mississippi Code of 1972, is 251 amended as follows:

13-1-21. (1) All communications made to a physician, osteopath, dentist, hospital, nurse, pharmacist, podiatrist, optometrist or chiropractor by a patient under his charge or by one seeking professional advice are hereby declared to be privileged, and such party shall not be required to disclose the same in any legal proceeding except at the instance of the patient or, in case of the death of the patient, at the instance of his

S. B. No. 2797 **~ OFFICIAL ~** 23/SS36/R494CS PAGE 10 personal representative or legal heirs in case there be no personal representative, or except, if the validity of the will of the decedent is in question, at the instance of the personal representative or any of the legal heirs or any contestant or proponent of the will.

264 (2)Waiver of the medical privilege of patients regarding 265 the release of medical information to health care personnel, the 266 State Board of Health or local health departments, made to comply 267 with Sections 41-3-15, 41-23-1 and 41-23-2 and related rules, shall be implied. The medical privilege likewise shall be waived 268 269 to allow any physician, osteopath, dentist, hospital, nurse, 270 pharmacist, podiatrist, optometrist or chiropractor to report to 271 the State Department of Health necessary information regarding any 272 person afflicted with any communicable disease or infected with 273 the causative agent thereof who neglects or refuses to comply with 274 accepted protective measures to prevent the transmission of the 275 communicable disease.

(3) Willful violations of the provisions of this section
shall constitute a misdemeanor and shall be punishable as provided
for by law. Any physician, osteopath, dentist, hospital, nurse,
pharmacist, podiatrist, optometrist, or chiropractor shall be
civilly liable for damages for any willful or reckless and wanton
acts or omissions constituting such violations.

(4) In any action commenced or claim made after July 1,
283 1983, against a physician, hospital, hospital employee, osteopath,

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284 dentist, nurse, pharmacist, podiatrist, optometrist or 285 chiropractor for professional services rendered or which should 286 have been rendered, the delivery of written notice of such claim 287 or the filing of such an action shall constitute a waiver of the 288 medical privilege and any medical information relevant to the 289 allegation upon which the cause of action or claim is based shall 290 be disclosed upon the request of the defendant, or his or her 291 counsel.

(5) In any disciplinary action commencing on or after July 1, 1987, against a medical physician, an osteopathic physician or a podiatrist pursuant to the provisions of Sections 73-25-1 through 73-25-39, 73-25-51 through 73-25-67, 73-25-81 through 73-25-95 and 73-27-1 through 73-27-19, waiver of the medical privilege of a patient to the extent of any information other than that which would identify the patient shall be implied.

299 (6) The Mississippi State Asylum Records shall be exempt 300 from the requirements of this section, provided that such records 301 relating to any person who has not been deceased for at least 302 fifty (50) years shall not be exempt.

303 **SECTION 7.** This act shall take effect and be in force from 304 and after July 1, 2023.

S. B. No. 2797 23/SS36/R494CS PAGE 12 ST: Mississippi State Asylum Records; provide procedures and exempt from confidentiality and privilege requirements.