MISSISSIPPI LEGISLATURE

To: Public Health and Welfare; Appropriations

SENATE BILL NO. 2106

AN ACT TO AMEND SECTION 43-11-1, MISSISSIPPI CODE OF 1972, TO 1 DEFINE THE TERM "ADULT DAY SERVICES FACILITY" FOR PURPOSES OF 2 INSTITUTIONAL LICENSURE BY THE STATE DEPARTMENT OF HEALTH; TO 3 4 AMEND SECTION 43-11-13, MISSISSIPPI CODE OF 1972, TO DIRECT THE STATE BOARD OF HEALTH TO PROMULGATE RULES, REGULATIONS AND STANDARDS REGARDING THE OPERATION OF ADULT DAY SERVICES FACILITIES 5 б 7 WHICH INCORPORATE THE MOST CURRENT RANGES AND LEVELS OF CARE 8 DEVELOPED BY THE NATIONAL ADULT DAY SERVICES ASSOCIATION (NADSA); TO CODIFY SECTION 43-11-8, MISSISSIPPI CODE OF 1972, TO PRESCRIBE 9 FEES FOR ADULT DAY SERVICES FACILITY LICENSURE; AND FOR RELATED 10 11 PURPOSES.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF MISSISSIPPI: SECTION 1. Section 43-11-1, Mississippi Code of 1972, is amended as follows:

15 43-11-1. When used in this chapter, the following words 16 shall have the following meaning:

17 (a) "Institutions for the aged or infirm" means a place either governmental or private which provides group living 18 19 arrangements for four (4) or more persons who are unrelated to the 20 operator and who are being provided food, shelter and personal care whether any such place be organized or operated for profit or 21 22 not. The term "institution for aged or infirm" includes nursing homes, pediatric skilled nursing facilities, psychiatric 23 24 residential treatment facilities, convalescent homes, homes for 25 the aged and adult day services facilities, provided that these institutions fall within the scope of the definitions set forth 26 above. The term "institution for the aged or infirm" does not 27 include hospitals, clinics or mental institutions devoted 28 primarily to providing medical service. 29

30 (b) "Person" means any individual, firm, partnership,
31 corporation, company, association or joint stock association, or
32 any licensee herein or the legal successor thereof.

33 (c) "Personal care" means assistance rendered by 34 personnel of the home to aged or infirm residents in performing 35 one or more of the activities of daily living, which includes, but 36 is not limited to, the bathing, walking, excretory functions, 37 feeding, personal grooming and dressing of such residents.

(d) "Psychiatric residential treatment facility" means
any nonhospital establishment with permanent facilities which
provides a twenty-four-hour program of care by qualified
therapists, including, but not limited to, duly licensed mental
health professionals, psychiatrists, psychologists,

psychotherapists and licensed certified social workers, for 43 emotionally disturbed children and adolescents referred to such 44 facility by a court, local school district or by the Department of 45 46 Human Services, who are not in an acute phase of illness requiring 47 the services of a psychiatric hospital, and are in need of such restorative treatment services. For purposes of this paragraph, 48 49 the term "emotionally disturbed" means a condition exhibiting one 50 or more of the following characteristics over a long period of 51 time and to a marked degree, which adversely affects educational performance: 52

53 1. An inability to learn which cannot be explained54 by intellectual, sensory or health factors;

55 2. An inability to build or maintain satisfactory56 relationships with peers and teachers;

57 3. Inappropriate types of behavior or feelings
58 under normal circumstances;
59 4. A general pervasive mood of unhappiness or

60 depression; or

615. A tendency to develop physical symptoms or62fears associated with personal or school problems. An

S. B. No. 2106 *SS26/R172* 06/SS26/R172 PAGE 2 63 establishment furnishing primarily domiciliary care is not within64 this definition.

(e) "Pediatric skilled nursing facility" means an
institution or a distinct part of an institution that is primarily
engaged in providing to inpatients skilled nursing care and
related services for persons under twenty-one (21) years of age
who require medical or nursing care or rehabilitation services for
the rehabilitation of injured, disabled or sick persons.

71 (f) "Licensing agency" means the State Department of72 Health.

(g) "Medical records" mean, without restriction, those medical histories, records, reports, summaries, diagnoses and prognoses, records of treatment and medication ordered and given, notes, entries, x-rays and other written or graphic data prepared, kept, made or maintained in institutions for the aged or infirm that pertain to residency in, or services rendered to residents of, an institution for the aged or infirm.

80 (h) "Adult day services facility" means a
81 community-based group program for adults designed to meet the
82 needs of adults with impairments through individual plans of care,
83 which are structured, comprehensive, planned, nonresidential
84 programs providing a variety of health, social and related support
85 services in a protective setting, enabling participants to live in

86 the community.

87 SECTION 2. Section 43-11-13, Mississippi Code of 1972, is 88 amended as follows:

89 43-11-13. (1) The licensing agency shall adopt, amend, promulgate and enforce such rules, regulations and standards, 90 including classifications, with respect to all institutions for 91 the aged or infirm to be licensed under this chapter as may be 92 93 designed to further the accomplishment of the purpose of this 94 chapter in promoting adequate care of individuals in those 95 institutions in the interest of public health, safety and welfare. *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 3

96 Those rules, regulations and standards shall be adopted and 97 promulgated by the licensing agency and shall be recorded and indexed in a book to be maintained by the licensing agency in its 98 99 main office in the State of Mississippi, entitled "Rules, 100 Regulations and Minimum Standards for Institutions for the Aged or 101 Infirm" and the book shall be open and available to all 102 institutions for the aged or infirm and the public generally at 103 all reasonable times. Upon the adoption of those rules, 104 regulations and standards, the licensing agency shall mail copies thereof to all those institutions in the state that have filed 105 106 with the agency their names and addresses for this purpose, but 107 the failure to mail the same or the failure of the institutions to 108 receive the same shall in no way affect the validity thereof. The 109 rules, regulations and standards may be amended by the licensing agency, from time to time, as necessary to promote the health, 110 safety and welfare of persons living in those institutions. 111

112 (2) The licensee shall keep posted in a conspicuous place on 113 the licensed premises all current rules, regulations and minimum standards applicable to fire protection measures as adopted by the 114 115 licensing agency. The licensee shall furnish to the licensing agency at least once each six (6) months a certificate of approval 116 117 and inspection by state or local fire authorities. Failure to comply with state laws and/or municipal ordinances and current 118 119 rules, regulations and minimum standards as adopted by the 120 licensing agency, relative to fire prevention measures, shall be prima facie evidence for revocation of license. 121

122 (3) The State Board of Health shall promulgate rules and regulations restricting the storage, quantity and classes of drugs 123 allowed in personal care homes and adult day services facilities. 124 125 Residents requiring administration of Schedule II Narcotics as 126 defined in the Uniform Controlled Substances Law may be admitted 127 to a personal care home. Schedule drugs may only be allowed in a 128 personal care home if they are administered or stored utilizing *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 4

129 proper procedures under the direct supervision of a licensed 130 physician or nurse.

(4) (a) Notwithstanding any determination by the licensing 131 132 agency that skilled nursing services would be appropriate for a 133 resident of a personal care home, that resident, the resident's 134 guardian or the legally recognized responsible party for the resident may consent in writing for the resident to continue to 135 reside in the personal care home, if approved in writing by a 136 licensed physician. However, no personal care home shall allow 137 more than two (2) residents, or ten percent (10%) of the total 138 139 number of residents in the facility, whichever is greater, to remain in the personal care home under the provisions of this 140 141 subsection (4). This consent shall be deemed to be appropriately informed consent as described in the regulations promulgated by 142 the licensing agency. After that written consent has been 143 obtained, the resident shall have the right to continue to reside 144 145 in the personal care home for as long as the resident meets the 146 other conditions for residing in the personal care home. A copy of the written consent and the physician's approval shall be 147 148 forwarded by the personal care home to the licensing agency.

The State Board of Health shall promulgate rules 149 (b) 150 and regulations restricting the handling of a resident's personal deposits by the director of a personal care home. Any funds given 151 152 or provided for the purpose of supplying extra comforts, 153 conveniences or services to any resident in any personal care home, and any funds otherwise received and held from, for or on 154 155 behalf of any such resident, shall be deposited by the director or 156 other proper officer of the personal care home to the credit of 157 that resident in an account that shall be known as the Resident's 158 Personal Deposit Fund. No more than one (1) month's charge for 159 the care, support, maintenance and medical attention of the 160 resident shall be applied from the account at any one time. After the death, discharge or transfer of any resident for whose benefit 161 *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 5

any such fund has been provided, any unexpended balance remaining 162 163 in his personal deposit fund shall be applied for the payment of 164 care, cost of support, maintenance and medical attention that is 165 If any unexpended balance remains in that resident's accrued. 166 personal deposit fund after complete reimbursement has been made 167 for payment of care, support, maintenance and medical attention, 168 and the director or other proper officer of the personal care home 169 has been or shall be unable to locate the person or persons 170 entitled to the unexpended balance, the director or other proper 171 officer may, after the lapse of one (1) year from the date of that 172 death, discharge or transfer, deposit the unexpended balance to the credit of the personal care home's operating fund. 173

(c) The State Board of Health shall promulgate rules and regulations requiring personal care homes to maintain records relating to health condition, medicine dispensed and administered, and any reaction to that medicine. The director of the personal care home shall be responsible for explaining the availability of those records to the family of the resident at any time upon reasonable request.

181 (d) The State Board of Health shall evaluate the 182 effects of this section as it promotes adequate care of 183 individuals in personal care homes in the interest of public 184 health, safety and welfare. It shall report its findings to the Chairmen of the Public Health and Welfare Committees of the House 185 186 and Senate by January 1, 2003. This subsection (4) shall stand repealed June 30, 2006. 187 188 (5) (a) For the purposes of this subsection (5):

189 "Licensed entity" means a hospital, nursing (i) home, personal care home, home health agency or hospice; 190 191 (ii) "Covered entity" means a licensed entity or a 192 health care professional staffing agency; 193 (iii) "Employee" means any individual employed by 194 a covered entity, and also includes any individual who by contract *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 6

provides to the patients, residents or clients being served by the 195 196 covered entity direct, hands-on, medical patient care in a 197 patient's, resident's or client's room or in treatment or recovery 198 The term "employee" does not include health care rooms. 199 professional/vocational technical students, as defined in Section 200 37-29-232, performing clinical training in a licensed entity under 201 contracts between their schools and the licensed entity, and does 202 not include students at high schools located in Mississippi who 203 observe the treatment and care of patients in a licensed entity as 204 part of the requirements of an allied-health course taught in the 205 high school, if:

206 1. The student is under the supervision of a207 licensed health care provider; and

208 The student has signed an affidavit that 2. 209 is on file at the student's school stating that he or she has not 210 been convicted of or pleaded guilty or nolo contendere to a felony 211 listed in paragraph (d) of this subsection (5), or that any such 212 conviction or plea was reversed on appeal or a pardon was granted for the conviction or plea. Before any student may sign such an 213 214 affidavit, the student's school shall provide information to the 215 student explaining what a felony is and the nature of the felonies 216 listed in paragraph (d) of this subsection (5).

However, the health care professional/vocational technical academic program in which the student is enrolled may require the student to obtain criminal history record checks under the provisions of Section 37-29-232.

221 (b) Under regulations promulgated by the State Board of 222 Health, the licensing agency shall require to be performed a criminal history record check on (i) every new employee of a 223 224 covered entity who provides direct patient care or services and 225 who is employed on or after July 1, 2003, and (ii) every employee 226 of a covered entity employed before July 1, 2003, who has a 227 documented disciplinary action by his or her present employer. In S. B. No. 2106 *SS26/R172* 06/SS26/R172

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addition, the licensing agency shall require the covered entity to perform a disciplinary check with the professional licensing agency of each employee, if any, to determine if any disciplinary action has been taken against the employee by that agency.

232 Except as otherwise provided in paragraph (c) of this 233 subsection (5), no such employee hired on or after July 1, 2003, 234 shall be permitted to provide direct patient care until the 235 results of the criminal history record check have revealed no 236 disqualifying record or the employee has been granted a waiver. 237 In order to determine the employee applicant's suitability for 238 employment, the applicant shall be fingerprinted. Fingerprints shall be submitted to the licensing agency from scanning, with the 239 240 results processed through the Department of Public Safety's 241 Criminal Information Center. If no disqualifying record is identified at the state level, the fingerprints shall be forwarded 242 243 by the Department of Public Safety to the Federal Bureau of 244 Investigation for a national criminal history record check. The 245 licensing agency shall notify the covered entity of the results of an employee applicant's criminal history record check. 246 If the 247 criminal history record check discloses a felony conviction, guilty plea or plea of nolo contendere to a felony of possession 248 249 or sale of drugs, murder, manslaughter, armed robbery, rape, 250 sexual battery, sex offense listed in Section 45-33-23(g), child abuse, arson, grand larceny, burglary, gratification of lust or 251 252 aggravated assault, or felonious abuse and/or battery of a vulnerable adult that has not been reversed on appeal or for which 253 254 a pardon has not been granted, the employee applicant shall not be 255 eligible to be employed by the covered entity.

(c) Any such new employee applicant may, however, be
employed on a temporary basis pending the results of the criminal
history record check, but any employment contract with the new
employee shall be voidable if the new employee receives a

S. B. No. 2106 *SS26/R172* 06/SS26/R172 PAGE 8 260 disqualifying criminal history record check and no waiver is 261 granted as provided in this subsection (5).

262 (d) Under regulations promulgated by the State Board of 263 Health, the licensing agency shall require every employee of a 264 covered entity employed before July 1, 2003, to sign an affidavit 265 stating that he or she has not been convicted of or pleaded guilty 266 or nolo contendere to a felony of possession or sale of drugs, 267 murder, manslaughter, armed robbery, rape, sexual battery, any sex 268 offense listed in Section 45-33-23(g), child abuse, arson, grand larceny, burglary, gratification of lust, aggravated assault, or 269 270 felonious abuse and/or battery of a vulnerable adult, or that any 271 such conviction or plea was reversed on appeal or a pardon was 272 granted for the conviction or plea. No such employee of a covered entity hired before July 1, 2003, shall be permitted to provide 273 direct patient care until the employee has signed the affidavit 274 required by this paragraph (d). All such existing employees of 275 276 covered entities must sign the affidavit required by this 277 paragraph (d) within six (6) months of the final adoption of the regulations promulgated by the State Board of Health. If a person 278 279 signs the affidavit required by this paragraph (d), and it is 280 later determined that the person actually had been convicted of or 281 pleaded guilty or nolo contendere to any of the offenses listed in 282 this paragraph (d) and the conviction or plea has not been 283 reversed on appeal or a pardon has not been granted for the 284 conviction or plea, the person is guilty of perjury. If the 285 offense that the person was convicted of or pleaded guilty or nolo 286 contendere to was a violent offense, the person, upon a conviction 287 of perjury under this paragraph, shall be punished as provided in Section 97-9-61. If the offense that the person was convicted of 288 289 or pleaded guilty or nolo contendere to was a nonviolent offense, 290 the person, upon a conviction of perjury under this paragraph, 291 shall be punished by a fine of not more than Five Hundred Dollars

S. B. No. 2106 *SS26/R172* 06/SS26/R172 PAGE 9 292 (\$500.00), or by imprisonment in the county jail for not more than 293 six (6) months, or by both such fine and imprisonment.

(e) The covered entity may, in its discretion, allow 294 295 any employee who is unable to sign the affidavit required by 296 paragraph (d) of this subsection (5) or any employee applicant 297 aggrieved by an employment decision under this subsection (5) to 298 appear before the covered entity's hiring officer, or his or her 299 designee, to show mitigating circumstances that may exist and 300 allow the employee or employee applicant to be employed by the covered entity. The covered entity, upon report and 301 302 recommendation of the hiring officer, may grant waivers for those mitigating circumstances, which shall include, but not be limited 303 304 to: (i) age at which the crime was committed; (ii) circumstances 305 surrounding the crime; (iii) length of time since the conviction 306 and criminal history since the conviction; (iv) work history; (v) 307 current employment and character references; and (vi) other 308 evidence demonstrating the ability of the individual to perform 309 the employment responsibilities competently and that the 310 individual does not pose a threat to the health or safety of the 311 patients of the covered entity.

The licensing agency may charge the covered entity 312 (f) 313 submitting the fingerprints a fee not to exceed Fifty Dollars (\$50.00), which covered entity may, in its discretion, charge the 314 same fee, or a portion thereof, to the employee applicant. 315 Any 316 costs incurred by a covered entity implementing this subsection 317 (5) shall be reimbursed as an allowable cost under Section 318 43-13-116.

If the results of an employee applicant's criminal 319 (g) history record check reveals no disqualifying event, then the 320 321 covered entity shall, within two (2) weeks of the notification of no disqualifying event, provide the employee applicant with a 322 323 notarized letter signed by the chief executive officer of the 324 covered entity, or his or her authorized designee, confirming the *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 10

employee applicant's suitability for employment based on his or 325 326 her criminal history record check. An employee applicant may use that letter for a period of two (2) years from the date of the 327 328 letter to seek employment with any covered entity without the 329 necessity of an additional criminal history record check. Any 330 covered entity presented with the letter may rely on the letter 331 with respect to an employee applicant's criminal background and is 332 not required for a period of two (2) years from the date of the letter to conduct or have conducted a criminal history record 333 check as required in this subsection (5). 334

335 The licensing agency, the covered entity, and their (h) 336 agents, officers, employees, attorneys and representatives, shall 337 be presumed to be acting in good faith for any employment decision 338 or action taken under this subsection (5). The presumption of 339 good faith may be overcome by a preponderance of the evidence in 340 any civil action. No licensing agency, covered entity, nor their agents, officers, employees, attorneys and representatives shall 341 342 be held liable in any employment decision or action based in whole 343 or in part on compliance with or attempts to comply with the 344 requirements of this subsection (5).

345 (i) The licensing agency shall promulgate regulations346 to implement this subsection (5).

347 (j) The provisions of this subsection (5) shall not348 apply to:

349 (i) Applicants and employees of the University of
350 Mississippi Medical Center for whom criminal history record checks
351 and fingerprinting are obtained in accordance with Section
352 37-115-41; or

(ii) Health care professional/vocational technical students for whom criminal history record checks and fingerprinting are obtained in accordance with Section 37-29-232. (6) The State Board of Health shall promulgate rules, regulations and standards regarding the operation of adult day S. B. No. 2106 *SS26/R172* 06/SS26/R172 PAGE 11 358 services facilities which incorporate, but are not limited to, the

359 most current ranges and levels of care developed by the National

360 Adult Day Services Association (NADSA).

361 SECTION 3. The following provision shall be codified as 362 Section 43-11-8, Mississippi Code of 1972:

363 43-11-8. (1) An application for a license for an adult day 364 services facility shall be made to the licensing agency upon forms 365 provided by it and shall contain such information as the licensing 366 agency reasonably requires, which may include affirmative evidence of ability to comply with such reasonable standards, rules and 367 368 regulations as are lawfully prescribed hereunder. Each 369 application for a license for an adult day services facility shall be accompanied by a license fee of Ten Dollars (\$10.00) for each 370 371 person of licensed capacity, with a minimum fee per institution of 372 Fifty Dollars (\$50.00), which shall be paid to the licensing 373 agency. Each application for a license for an adult day services 374 facility shall be accompanied by a license fee of Ten Dollars 375 (\$10.00) for each bed in the institution, with a minimum fee per 376 institution of Fifty Dollars (\$50.00), which shall be paid to the licensing agency. 377

378 (2) A license, unless suspended or revoked, shall be 379 renewable annually upon payment by (a) the licensee of an adult 380 day services facility, except for personal care homes, of a renewal fee of Ten Dollars (\$10.00) for each person of licensed 381 382 capacity in the institution, with a minimum fee per institution of 383 Fifty Dollars (\$50.00), or (b) the licensee of an adult day care facility of a renewal fee of Ten Dollars (\$10.00) for each 384 385 licensed facility, with a minimum fee per institution of Fifty 386 Dollars (\$50.00), which shall be paid to the licensing agency, and 387 upon filing by the licensee and approval by the licensing agency 388 of an annual report upon such uniform dates and containing such 389 information in such form as the licensing agency prescribes by 390 Each license shall be issued only for the premises regulation. *SS26/R172* S. B. No. 2106 06/SS26/R172 PAGE 12

and person or persons or other legal entity or entities named in the application and shall not be transferable or assignable except with the written approval of the licensing agency. Licenses shall be posted in a conspicuous place on the licensed premises.

395 (3) A fee known as a "user fee" shall be applicable and 396 shall be paid to the licensing agency as set out in subsection (1) 397 hereof. This user fee shall be assessed for the purpose of the 398 required reviewing and inspections of the proposal of any 399 institution in which there are additions, renovations, modernizations, expansion, alterations, conversions, modifications 400 401 or replacement of the entire facility involved in such proposal. 402 This fee includes the reviewing of architectural plans in all 403 steps required. There shall be a minimum user fee of Fifty 404 Dollars (\$50.00) and a maximum user fee of Two Thousand Dollars 405 (\$2,000.00).

406 **SECTION 4.** This act shall take effect and be in force from 407 and after January 1, 2007.